

Moderator notes - QED Conference

We are delighted to have you as a moderator at our conference the 6th Annual QED Conference on Cross-border regulation of financial services on 4 June 2019 at Arts 56, Avenue des Arts 56, 1000 Brussels. Please find here below some practical information for you as a moderator as well as detailed time schedule for the event.

As moderator, you will be given a <u>10-minutes window for an opening speech</u> before the other speakers begin, to set the scene and introduce the speakers. During the whole duration of the conference, you and the speakers will be seated on high chairs in front of the audience, from where all the introductory speeches will also be delivered and the panel discussion will be held. Please note that each speaker will have ten minutes maximum for their presentation.

The individual speeches will be followed by a panel discussion.

It would be great if you could have a few pre-prepared questions by Wednesday 22 May at the latest and mix them with our discussion points (mentioned below) and possible questions from the audience. To facilitate this task, we have asked all speakers to send us their presentations and should be able to provide you with these by 17th of May 2019.

At the end of the panel, please invite each speaker to summarize in approximatively two minutes their main points.

Please note that on the day of the event we will tweet about the topics discussed during the conference. Should you agree to being quoted, we would be very grateful if you could send us already **some statements** that we could use on the day of the event. Thank you.

A few points to keep in mind:

- The moderator role is to be an expert rather than a stakeholder and to stimulate a balanced and fruitful discussion where all speakers get an equal chance to express their views.
- Please ensure all the speakers will be involved in the discussion and will be dedicated the same time to answer questions or show their position on any matter. If the questions from the audience and from the panellists are too one-sided, either in content or regarding to whom they are directed, it is the role of the moderator to balance this up.
- Your very presence as a moderator and your expertise on the topic is enough to promote your company/organization. The focus of your presentation should be on the topic of the event.

- At the beginning of the conference, please ask the audience that if someone does not want to be in the event pictures they should inform the photographer during or after the event.
- The welcome coffee starts at 14.00 in the coffee area at the venue Arts 56, Avenue des Arts 56, 1000 Brussels. We would appreciate if you could be present at this time to meet the speakers, and to prepare should there be any unanticipated change in the programme.
- Please be informed everything is on the record. There are journalists in the room and all speeches will be published at http://www.qed.eu/.
- Please try to make the speakers stick to the time schedule (maximum ten minutes for each speaker). To this end, you can kindly and discreetly ask the speakers to conclude their presentation when they have one minute left and then once again when the time is up. This is always a difficult task but we trust you handle this perfectly.
- All speeches will be delivered in front of the audience seated. Please note that during the
 whole duration of the conference, you and the speakers will be seated on high chairs,
 from where all the introductory speeches will be delivered and the panel discussion will
 be held.
- We kindly ask you to use the microphone so that all delegates are able to hear you clearly and also encourage the speakers to do so as well.
- As far as the participation of the audience, there will be two possibilities they can either fill out a question card (placed on their seat) or ask the questions directly when you give them the floor. A hostess will collect the question cards and give them to you. Should there be less questions from the audience, your pre-prepared questions will be useful to stimulate the discussion.
- If there are questions from the audience, please ask anyone intervening to wait for the
 hostess to bring them a microphone, and to present themselves and the organization they
 represent.
- An evaluation form will be placed in the hand out material. We would highly appreciate if
 you could ask all participants to fill in the form before they leave. They can leave the
 evaluation form at the registration desk at the end of the conference or on their seat.
- If you will be using slides please take note of the following
 - Keep the number of slides to maximum 10
 - Keep the amount of text on each slide to a minimum
 - The format for the presentation should be 16:9. In PowerPoint go to File>Page Setup...>Slide sized for On-screen Show 16:9.

- Please use slide numbers. In PowerPoint go to Insert>Slide Number> tick "Slide number starts at 1", tick "Don't show on title slide" then click "Apply to All"
- Send us the file in PPT format (.ppt or .pptx) not as a pdf or in any other format.
- You will find the remote control for your presentation placed on the lectern. The down bottom (when held like in the picture below) will change the presentation to the next slide.



Detailed Time Schedule

Please be aware that timing below is only indicative.

14.00 – 14.30 Registration and welcome coffee

14.30 - 16.45 Panel

- 14.30 14.40 Opening remarks by moderator Kern Alexander, Professor ofBanking and Financial Market Regulation, University of Zurich
- 14.40 14.50 Introductory remarks by Almorò Rubin de Cervin Head of Unit A4 International Affairs, DG FISMA, European Commission
- 14.50 15.00 Introductory remarks by Nick Collier Managing Director, BrusselsOffice, City of London Corporation
- 15.00 15.10 Introductory remarks by **Eve Finn** Managing Director, LGIM Europe Ltd
- 15.10 15.20 Introductory remarks by **Matthias Heer** Managing Director, Swiss Finance Council
- 15.20 -15.30 Introductory remarks by Sharon Yang Director, International Affairs,U.S. Department of the Treasury

15.40 - 16.30 Panel discussion

16.30 - 16.45 Concluding remarks, 2 min per panellist

Possible discussion points:

- In 2009 the G20 leaders decided on a number of a principles to govern the global OTC markets. Where do we stand today, 10 years later?
- Equivalence how can we make sure that it is interpreted the same way by everyone? Can equivalence be a catalyst for building enduring relationships between the EU and third countries?
- How can we build a regulatory community that trust an defer to one another?
- Multilateral and bilateral approach how can we ensure that they complement rather than counter each other?
- What is a reasonable tradeoff between keeping control and adhering to the work of international standard setter?
- How can we create strong international standards that financial institutions rely on for cyber resilience?
- What is the impact on the financial sector of GDPR in terms, if international data reporting and data exchange?
- Is there a risk of a spill-over on the financial sector from the increased protectionism we see in international trade?

Please let us know if you have any questions or need any further information.





6th Annual QED Conference on Cross-border regulation of financial services

Rue de Spa 30, 1000 Brussels

Vo.	Title	Last name	First name	Name of Organisation	Position
	Mr	Ahmed	Umar	Irish Funds	Head of EU Affairs
	Mr	Alexander	Kern	University of Zurich	Chair for Banking & Financial Market Regulation
	Mr	Ashe-Taylor	James	Constantine Cannon	Partner
	Ms	Baienkova	Yelyzaveta	Mission of Ukraine to the EU	Second secretary
	Ms.	Belokurova	Jevgenija	Permanent Representation of Latvia	Counsellor
	Ms	Bergbauer	Stephanie	European Central Bank	Economist
	Ms	Bravard	Claire	Deutsche Börse Group	Government Relations
	Mr	Bresson	Martin	Rud Pedersen Public Affairs	Managing Partner
	Ms	Budin	Ana	Permanent Representation of Croatia to the EU	Financial Services Attaché
0	Mr	Calleja	Michael	Permanent Representation of Malta to the EU	Financial Services Research Analyst
1	Mr	Camera	Corrado	U.S. Mission to the EU	Advisor, Office of the U.S Treasury Representative for Europe
2	Ms	Carrier	Anna	Norton Rose Fulbright	Senior Government & Regulatory Affairs Advisor
3	Ms	Connaghan	Hannah	City of London Corporation	Events & Communications Officer
4	Mr	Cook	David	IHS Markit	Executive Director
5	Ms	Couvidat	Corentin	FleishmanHillard	Consultant
6	Mrs	Declerck	Marijke	European Commission	Policy Officer
7	Ms	Driggs	Anna	ICI Global	Director & Associate Chief Counsel, Global Funds Policy
8	Mr	Erceg	Маја	PIMFA	Senior Policy Adviser - EU & Government Affairs
9	Ms	Filipović	Dina	Austrian Savings Banks Association	EU Representative
0	Mr	Foley	Conor	Norton Rose Fulbright	Co-Head, Global Government Relations & Public Policy
1	Mr	Ghafoor	Ayesha	Barclays	Government Relations
2	Ms	Hülsken	Nina	Cosmo Element	Managing Director
3	Mr	Kimmerle	Christoph	European Parliament	
4		Koeck	Robert	Permanent Representation of Austria to the EU	Senior Economist
:5	Mr	Kolassa	Doris	European Parliament	Secretariat of the ECON Committee
6	Mr	Kolf	Heiko	Rud Pedersen Public Affairs	Consultant
7	Mr	Kremer	Antoine	ABBL - The Luxembourg Bankers' Association	Head of European Affairs
8	Mr	Landrieu	Jules	Euronext	Policy Officer
9	Mr	May	Rhys	Committee of the Regions	Trainee
0	Ms	McDonald	Helen	Deloitte	Senior Manager, Public Policy
1	Ms	McHugh	Hannah	City of London Corporation	European Policy Advisor
2	Ms	McKeever	MaryJo	Bank of England	Adviser, trade policy
3	Ms	Mendes Cabeçadas	Isabel	CACCL - Lisbon Arbitration Centre for Consumer Complaints	Director

34	Mrs	Milic	Marijana	Global Counsel	Senior Associate
35	Ms	Mincheva	Vesela	FTI Consulting	Consultant
36	Mr	Mitchener	Brandon	Instinctif Partners	Managing Partner
37	Mr	Muratori	Edoardo	Single Resolution Board	Legal Expert
38	Ms	Muyldermans	Chris	KBC Group	Counsel EU Public Policy & Regulatory Affairs
39	Mr	Nielsen	Magnus Rosendahl	Permanent Representation of Denmark to the EU	Assistant
40	Ms	Norton	Lawrence	U.S. Treasury Department	U.S. Treasury Representative
41	Ms	Ottawa	Elisabeth	Schroders Investement Management	Deputy Head of Public Policy
42	Mr	Pedisic	Roko	Single Resolution Board	Bank Resolution Expert
43	Mr	Pérez	Daniel	Commerzbank AG	Advisor EU Affairs
44	Ms	Petersen	Louise	Permanent Representation of Sweden to the EU	Financial Services Attaché
45	Mr	Postius	David	Banco Santander	Alternate Member
46	Mr	Putoto	Sebastiano	European Parliament	Parliamentary Assistant
47	Mr	Reinhardt	Nickolas	Afore Consulting	Director
48	Mr	Rescheneder	Amrit	Austrian Savings Banks Association	Head of European Affairs
49	Ms	Russell	Naomi	Permanent Representation of the UK to the EU	Financial Services Attache
50	Mr	Schickler	Jack	MLex	Senior Correspondent
51	Ms	Sivak	Beata	LSEG	Head of Brussels Office
52	Mr	Smith-Meyer	Bjarke	Politico	Finance Reporter
53	Mr	Smits	Christiaan	Freshfields Bruckhaus Deringer	Head of EU Regulatory & Public Affairs
54	Mr	Sotiropoulos	Michalis	DICC	Executive Director, Head of Brussels Office
55	Mr	Spinaci	Stefano	European Parliament	Financial Administrator
56	Ms	Ströllinger	Regina	Permanent Representation of Bavaria to the EU	Intern
57	Ms	Strazdina	Linda	Afore Consulting	Consultant
58	Ms	Suonpää	Sini	European Parliament	Policy Advisor,
59	Ms	Taylor	Fiona	ISDA	Director, European Public Policy
60	Ms	Tholen	Anne Lina	Commerzbank AG	Advisor EU Affairs
61	Ms	Trenko	Antra	Permanent Representation of Latvia to the EU	Counsellor
62	Mr	Turek	Simon	CME Group	Senior Director
63	Dr	Uckelmann	Julia	Permanent Representation of Bavaria to the EU	Head of Unit
64	Ms	Uria	Ana	DICC	EU Affairs Adviser
65	Mr	van den Bogart	Flupke	Norton Rose Fulbright	Government Relations Manager
66	Mr	Van den Broeck	Benoit	KPMG Advisory	Director
67	Mr	van den Hul	Peter	European Commission	Project Manager, DG FISMA
68	Mr	Van Hoorn	Victor	Hume Brophy	Head of Financial Services
69	Mr	Verbert	Hans	Permanent Representation of Belgium to the EU	Financial Services Attaché
70	Ms	Wood	Rebecca	City of London Corporation	European Policy Manager